

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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eanette Petzold

Applicant

: Markus Rauscher, et al.

Application No.

: 10/646,064

Filed

: August 21, 2003

Title

: A SHOULDER JOINT PROSTHESIS

Grp./Div.

: Unknown

Examiner

: Unknown

Docket No.

: 50930/TJD/M881

## INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Post Office Box 7068 Pasadena, CA 91109-7068 September 18, 2003

## Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Enclosed copies of each of the listed references are, in part, from a corresponding European patent application. A copy of the European search report, and the English translation of the report, identifying the references cited herein is also enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b). To the best of the undersigned's knowledge, this statement is being filed before the mailing date of the first Office action on the merits.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application.

## **Application No. 10/646,064**

Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Thomas J. Daly

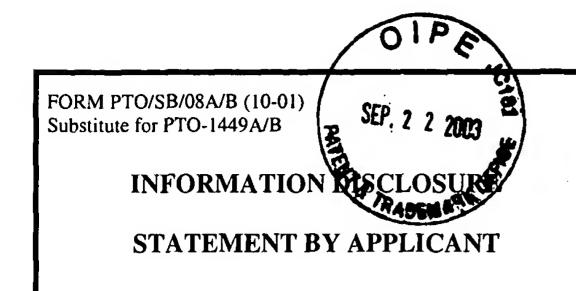
Reg. No. 32,213 626/795-9900

TJD/jmp

Enclosures:

PTO/SB/08A/B, w/references

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Attorney Docket Number	50930/TJD/M881
Application Number	10/646,064
Filing Date	August 21, 2003
Applicant(s)	Markus Rauscher
Group Art Unit	Unknown
Examiner Name	Unknown

(use as many sheets as necessary)

		U.S. P	ATENT DOCUMENTS	
EXAMINER INITIALS	Cite No.1	DOCUMENT NUMBER  Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,003,095	01-18-1977	Gristina
		5,569,263	10-29-1996	Hein
	***************************************	5,741,335	04-21-1998	Gerber, et al.
		5,885,295	03-23-1999	McDaniel, et al.
		US 6,197,063 B1	03-06-2001	Dews
		US 6,203,575 B1	03-20-2001	Farey
		US 6,406,496 B1	06-18-2002	Rüter

		FOREIGN	PATENT DOCUME	NTS	
EXAMINER INITIALS	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✔)
		EP 0 679 375 A1	11-02-1995	Ferdinando Odella	( ) abstract
		WO 97/25943 A1	07-24-1997	Philippe Delince, et al.	() abstract
		EP 0 931 522 A1	07-28-1999	Samuel Farey	() abstract
		WO 99/37254 A1	07-29-1999	Axel Rüter	() abstract
		EP 0 953 321 A1	11-03-1999	Etienne Lesur	() abstract
		EP 1 314 407 A1	05-28-2003	Markus Rauscher	() abstract
		EP 1 321 114 A1	06-25-2003	Markus Rauscher	() abstract

		OTHER DOCUMENTS
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

1	YAMNER	<del> </del>	 DATE
	EXAMINER SIGNATURE		DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TJD/jmp